JRL CAPITAL CORPORATION | JRL CAPITAL ADVISORS LLC
Member FINRA and SIPC   SEC Registered Investment Advisor
100 Pacifica, Suite 360 | Irvine, CA | 949-650-2928 Fax 714-619-5298

Client Investment Diversification Worksheet

Date:

Client Name: ______________________________ Rep / IA: ______________________________

Customer has all assets with JRL or related companies ☐ Y ☐ N
Customer has disclosed all investment portfolio holdings ☐ Y ☐ N
Customer uses the services of the JRL rep only for specific investments: ☐ Y ☐ N
Please Explain:____

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REGULAR ACCOUNTS:

Total Assets $ ________________________

Cash & Equivalents______% **Liquid Assets** ______% **Illiquid Assets** ______%

Stocks _______% Individual stocks ______% Mutual Funds ______% Options ______%

Bonds _______% Corporate ______% Government/Muni ______% Mutual Funds ______%

Foreign Allocation _______% **Domestic Allocation** _______%

Public Non-Traded REITs _____% Market Sectors ______________________________

Private REITs _____% Market Sectors ______________________________

LP's/DPP's _____% Market Sectors ______________________________

Variable Annuities _____% **Fixed Index Annuities** _____% **Fixed Annuities** _____%

RETIREMENT ACCOUNTS:

Total Assets $ ________________________

Cash & Equivalents______% **Liquid Assets** ______% **Illiquid Assets** ______%

Stocks _______% Individual stocks ______% Mutual Funds ______% Options ______%

Bonds _______% Corporate ______% Government/Muni ______% Mutual Funds ______%

Foreign Allocation _______% **Domestic Allocation** _______%

Public Non-Traded REITs _____% Market Sectors ______________________________

Private REITs _____% Market Sectors ______________________________

LP's/DPP's _____% Market Sectors ______________________________

Variable Annuities _____% **Fixed Index Annuities** _____% **Fixed Annuities** _____%

Data Verification: Client Stated Numbers ☐ Client File Numbers ☐

☐ I confirm that the above allocation(s) accurately reflect the state of the investment diversification in my accounts.

Rep Initials __________ Customer Initials __________